**WORLD SAILING DEVELOPMENT RULE**

**DR21-04 Appendix UF Umpired Fleet racing**

*Version May 2021*

*World Sailing (WS) has approved the use of Appendix UF as a test rule, under Regulation 28.1.5(b). The approval applies on the following conditions:*

1. *Appendix UF is used by changing it as permitted by this appendix for a specific event or series of events. The appendix is named after this event(s). For example, the TP52 Super Series should name their version: “Umpired Fleet Racing. TP52 Super Series Edition”*
2. *In UF1, rules are only changed by choosing between the options provided. UF1 includes rules that rule 86.1 normally prevents an event from changing. However, when this appendix is used, it is permitted to change these rules, provided that only the options in UF1 are applied, and the text of the options that are applied is not changed.*
3. *In UF2-UF5 the recommended wording provided below is only changed when permitted by rule 86.1. This includes replacing highlighted text with the relevant details for the event.*
4. *Options not chosen and the highlighted instructions shall be deleted from the appendix.*

*Please, after the use of this test rule, answer the questions below and email them to rules@sailing.org:*

*Name of the event:*

*Dates of the event*

*Chief umpire:*

*Classes:*

*Number of Boats per race:*

*Number of Umpire boats:*

*Number of Umpires:*

*Number of umpire decisions (may be approximate):*

*Number of hearings conducted after racing:*

*Any problems with the text of the Appendix:*

*Any additions requested:*

*Other comments to Appendix UF:*

*Attach the Appendix UF for the event.*

**INSTRUCTIONS:**

*If the umpires are not to penalize for rule 28 infringements, delete all that is light blue*

*If rule 31 is deleted, delete everything in green*

*If there is no option for hearings for breaches of part 2 rules (‘J flag’ or similar) delete all in purple*

***This page should be deleted in the version of Appendix UF that is published for an event***

**APPENDIX UF**

UMPIRED FLEET RACING

[insert Event name] Edition

**Version:** [insert month, day and year]

*Umpired fleet races shall be sailed under* The Racing Rules of Sailing *as changed by this appendix. Races shall be umpired. The rule changes in UF1 have been approved by World Sailing under Regulation 28.1.5(b) on the condition that only the provided options are used. This appendix applies only when it is referred to in the Notice of Race and made available for all competitors.*

**UF1 CHANGES TO THE DEFINITIONS, THE RULES OF PART 1 AND 2, AND RULE 70**

**UF1.1** Add to the definition *Proper Course*: ‘A boat taking a penalty or manoeuvring to take a penalty is not sailing a *proper course*.’

**UF1.2** Add new rule 7 to Part 1:

**7 LAST POINT OF CERTAINTY**

The umpires will assume that the state of a boat, or her relationship to another boat, has not changed, until they are certain that it has changed.’

**UF1.3** [*Optional change if alternative rule 14 is preferred*]*and/or if a scoring penalty is to be applied for contact even without damage.*

Rule 14 is changed to:

**14 AVOIDING CONTACT**

14.1 If reasonably possible a boat shall

1. avoid contact with another boat,
2. not cause contact between boats, and
3. not cause contact between a boat and an object that should be avoided.

However, a right-of-way boat, or one sailing within the *room* or *mark-room* to which she is entitled, need not act to avoid contact until it is clear that the other boat is not *keeping clear* or giving *room* or *mark-room*.

[If a *scoring penalty is to be applied for contacts even without damage* also add:]

14.2 When there is contact between hulls, the umpires may, without a hearing, impose a scoring penalty of [insert number of points] points on a boat that was penalized in the incident. Furthermore, the umpires may also impose a scoring penalty of [insert number of points] points on other boats if they consider that these boats contributed to the contact. This rule also applies to [insert objects other than the hull or delete this sentence].

14.3 When there is contact that causes damage, or the umpires decide a boat has broken rule 14 and damage resulted, they may, without a hearing, impose a scoring penalty on any boat involved in the incident. The minimum penalty to be applied in such a case is [insert number of points] points.

**OR** if a *scoring penalty is to be applied for contacts even without damage* using the standard RRS rule 14 then use:

Rule 14 is changed to:

**14 AVOIDING CONTACT**

14.1 A boat shall avoid contact with another boat if reasonably possible. However, a right-of-way boat or one sailing within the *room* or *mark-room* to which she is entitled need not act to avoid contact until it is clear that the other boat is not *keeping clear* or giving *room* or *mark-room*.

14.2 When there is contact between hulls, the umpires may, without a hearing, impose a scoring penalty of [insert number of points] points on a boat that was penalized in the incident. Furthermore, the umpires may also impose a scoring penalty of [insert number of points] points on other boats if they consider that these boats contributed to the contact. This rule also applies to [insert objects other than the hull or delete this sentence].

14.3 When there is contact that causes damage, or the umpires decide a boat has broken rule 14 and damage resulted, they may, without a hearing, impose a scoring penalty on any boat involved in the incident. The minimum penalty to be applied in such a case is [insert number of points] points.

**UF1.4** When rule 20 applies, the following arm signals are required in addition to the hails:

(a) for *room* to tack, repeatedly and clearly pointing to windward; and

(b) for ‘You tack’, repeatedly and clearly pointing at the other boat and waving the arm to windward.

**UF1.5** Rule 70 is deleted.

**UF1.6 Test rules**

[*Optional change*]

(a) The definition *Mark-Room* is changed to:

***Mark-Room*** Room for a boat to sail her proper course to round or pass the mark on the required side.

However, *mark-room* for a boat does not include *room* to tack unless she is *overlapped* inside and to *windward* of the boat required to give *mark-room* and she would be *fetching* the *mark* after her tack.

[*Optional change*]

(b) Rule 13 is deleted.

[*Optional change*]

(c) Rule 17 is deleted.

**UF2 CHANGES TO OTHER RULES**

**UF2.1** [Select one of the 2 options:]

[*Option if the umpires can penalize for a breach of rule 28*]

Rule 28.2 is changed to:

**28 SAILING THE COURSE**

28.2. A boat may correct any errors in *sailing the course*, provided she has not rounded the next mark or crossed the finishing line to *finish*.

OR

[*Option if umpires cannot penalize for breaches of rule 28*]

*Delete the reference to rule 28 in rules UF3.4(a)(6), UF3.4(b), UF5.3(a) and UF5.10.*

**UF2.2** [Option to change rule 31 so that only crew or hull contact with marks is prohibited]

Rule 31 is changed to:

**31 TOUCHING A MARK**

While *racing*, neither the crew nor any part of a boat’s hull shall touch a starting *mark* before *starting*, a *mark* that begins, bounds or ends the leg of the course on which she is sailing, or a finishing *mark* after *finishing*. In addition, while *racing*, a boat shall not touch a race committee vessel that is also a *mark*. This rule also applies to [insert objects other than the hull or delete this sentence].

[*Option to delete rule 31 so any mark touch is permitted*]

Rule 31 is deleted.

*(Delete the reference to rule 31 in rules UF 3.2, UF 3.3(a), UF 3.4(a)(1) )*

**UF2.3** [*Option to delete Appendix P if it was used in a previous stage of the event. Delete if the whole event use Appendix UF.*]

Rules P1 to P4 shall not apply.

**UF3 ON WATER PROTESTS AND PENALTIES**

**UF3.1** In this appendix, ‘a penalty’ will mean the following:

[*Option if the penalty is a One-Turn Penalty*]

A One-Turn Penalty taken in accordance with rule 44.2.

[*Alternative option if another penalty applies*]

[insert description of penalty].

**UF3.2** The first sentence of rule 44.1 is changed to: ‘A boat may take a penalty when, in an incident while *racing*, she may have broken one or more of the *rules* of Part 2 (except rule 14 when she has caused damage or injury), rule 31 or rule 42. However, when she may have broken a rule of Part 2 and rule 31 in the same incident, she need not take the penalty for breaking rule 31’

**UF3.3 On the Water Protests by Boats and Penalties**

(a) While racing, a boat may protest another boat under a rule of Part 2 (except rule 14) or under rule 31 or rule 42; however, a boat may only protest under a rule of Part 2 for an incident in which she was involved. To do so she shall hail ‘Protest’ and conspicuously display a [insert flag description: red, Y or other] flag at the first reasonable opportunity for each. She shall remove the flag before, or at the first reasonable opportunity after a boat involved in the incident has taken a penalty voluntarily or after an umpire’s decision.

[*Option if some classes do not need a protest flag*]

The protest flag is not required for [insert classes or events].

(b) A boat that protests as provided in rule UF3.3(a) is not entitled to a hearing, unless an umpire signals in accordance with UF3.5(d). Instead, a boat involved in the incident may acknowledge breaking a rule by voluntarily taking a penalty. An umpire may penalize any boat that broke a rule and was not exonerated, unless the boat took a penalty voluntarily.

**UF3.4 Penalties and Protests Initiated by an Umpire**

(a) When a boat

(1) breaks rule 31 and does not take a penalty,

(2) breaks rule 42,

(3) gains an advantage despite taking a penalty,

(4) commits a breach of sportsmanship, or

(5) fails to comply with rule UF3.6 or to take a penalty when required to do so by an umpire,

[*Optional: add additional items (6) etc. for breaches of class rules, boat handling rules, or prohibited sailing areas that umpires can penalize for*]

(6) [*Option if the umpires can penalize for a breach of rule 28*] fails to comply with rule UF2.1 (rule 28.2) an umpire shall disqualify her under rule UF3.5(c),

an umpire may penalize her without a protest by another boat. The umpire may impose a penalty or more, each signalled in accordance with rule UF3.5(b), or disqualify her under rule UF3.5(c), or report the incident to the protest committee for further action. If a boat is penalized under rule UF3.4(a)(5) for not taking a penalty or taking a penalty incorrectly, the original penalty is cancelled,

(b) An umpire who decides, based on his own observation or a report received from any source, that a boat may have broken a rule, other than rule UF3.6 or rule 28 or a rule listed in rule UF3.3(a), may inform the protest committee for its action under rule 60.3. However, he will not inform the protest committee of an alleged breach of rule 14 unless there is damage or injury.

**UF3.5 Umpire Signals**

An umpire will signal a decision as follows:

(a) A green and white flag with one long sound means ‘No penalty.’

(b) A red flag with one long sound means ‘a penalty is imposed or remains outstanding.’ The umpire will hail or signal to identify each such boat.

(c) A black flag with one long sound means ‘A boat is disqualified.’ The umpire will hail or signal to identify the boat disqualified.

(d) [*Option if the umpires can display a flag when they do not have all facts*]

A [insert description of flag: J or other] flag with one long sound means ‘The umpires do not have the facts required to make a decision.’

**UF3.6 Imposed Penalties**

(a) A boat penalized under rule UF3.5(b) shall take a penalty.

(b) A boat disqualified under rule UF3.5(c) shall promptly leave the course area.

**UF4 RACE COMMITTEE ACTIONS**

**UF4.1** [*Option if the race committee provides results at the finishing line*]

At the finishing line, the race committee will inform the competitors about each boat’s finishing place or scoring abbreviation. After this has been done, the race committee will promptly display flag B with one sound. Flag B will be displayed for at least two minutes and then removed with one sound. If the race committee changes the scoring information provided at the finishing line while flag B is displayed, it will display flag L with one sound. Flag B will continue to be displayed for at least two minutes after any changes are made.

[*Alternative option if the race committee does not provide results at the finishing line but does so over radio*]

After boats have finished, the race committee will inform competitors about the results over radio on [insert channel].

[*Alternative option if the race committee does not provide results at the finishing line but does so on the official noticeboard*]

After boats have finished, the race committee will inform competitors about the results on the official noticeboard.

**UF5 PROTESTS; REQUESTS FOR REDRESS OR REOPENING; APPEALS; OTHER PROCEEDINGS**

**UF5.1** No proceedings of any kind may be taken in relation to any action or non-action by an umpire.

**UF5.2** [*Option if a boat is allowed to protest when there is no umpire response*]

A boat is only entitled to a hearing when the umpires have signalled in accordance with UF3.5(d) or under UF5.3.

**UF5.3** A boat intending to

(a) protest another boat under a rule other than rule UF3.6 or rule 28, or a rule listed in rule UF3.3(a),

(b) protest another boat under rule 14 if there was contact that caused damage or injury, or

(c) request redress

shall inform the race committee in the following way:

[*Option when the race committee displays results at the finishing line*]

hail the race committee before or during the display of flag B.

[*Option when the race committee does not display results at the finishing line*]

[describe how boats inform the race committee of its intention to protest or request redress and what the time limit is for informing the race committee about this]

**UF5.4** The time limit defined in rule UF5.3 also applies to protests under rule UF5.9, UF5.10 and UF5.11 when such protests are permitted. The protest committee shall extend the time limit if there is good reason to do so.

**UF5.5** The race committee will promptly inform the protest committee about any protests or requests for redress made under rule UF5.3.

**UF5.6** The third sentence of rule 61.1(a) and all of rule 61.1(a)(2) are deleted.

[*Option to use if redress cannot be given for actions from OA or Race Officials*]

Rule 62.1(a) is deleted.

**UF5.7** The first three sentences of rule 64.2 are changed to: ‘When the protest committee decides that a boat that is a *party* to a protest hearing has broken a rule, it may impose penalties other than disqualification, and may make any other scoring arrangement it decides is equitable. If a boat has broken a *rule* when not *racing*, the protest committee shall decide whether to apply any penalty to the race sailed nearest in time to that of the incident or make some other arrangement.’

**UF5.8** Hearings

Except for a hearing under rule 69.2

(a) Protests and requests for redress need not be in writing.

(b) The protest committee may inform the protestee and schedule the hearing in any way it considers appropriate and may communicate this orally.

(c) The protest committee may take evidence and conduct the hearing in any way it considers appropriate and may communicate its decision orally.

(d) If the protest committee decides that a breach of a rule has had no effect on the outcome of the race, it may impose a scoring penalty of points or fraction of points or make any other arrangement it decides is fair, which may be to impose no penalty.

(e) If the protest committee penalizes a boat in accordance with rule UF5.7 or if a standard penalty is applied, all other boats will be informed about the change of the penalized boat’s score.

**UF5.9** The race committee will not protest a boat. [option if the race committee may protest: except under rule [insert rules]].

**UF5.10** The protest committee may protest a boat under rule 60.3. However, it will not protest a boat for breaking rule UF3.6 or rule 28, a rule listed in rule UF3.3(a), or rule 14 unless there is damage or injury.

**UF5.11** The technical committee will only protest a boat under rule 60.4 when it decides that a boat or personal equipment does not comply with the class rules, rule 50, or the rules in the equipment regulations of the event, if such exist. In such a case, the technical committee shall protest.

**UF5.12** Rule 66.2 is changed to ‘A *party* to the hearing under this appendix may not request a reopening.’